

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EV351235215US

Applicant : Tetsuya Miyazaki
 Application No. : N/A
 Filed : December 2, 2003
 Title : OPTICAL RECEIVER AND METHOD FOR CONTROLLING
 DISPERSION COMPENSATION
 Grp./Div. : N/A
 Examiner : N/A
 Docket No. : 51214/DBP/T360

INFORMATION DISCLOSURE STATEMENT
 37 CFR § 1.97(b)

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

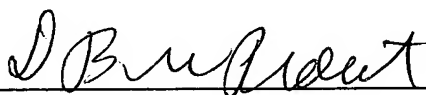
PostOffice Box 7068
 Pasadena, CA 91109-7068
 December 2, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed "Other Documents" are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
 CHRISTIE, PARKER & HALE, LLP

By 
 D. Bruce Prout
 Reg. No. 20,958
 626/795-9900

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| FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B | Attorney Docket Number | 51214/DBP/T360 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | N/A |
| | Filing Date | December 2, 2003 |
| | Applicant(s) | Tetsuya Miyazaki |
| | Group Art Unit | N/A |
| | Examiner Name | N/A |

| U.S. PATENT DOCUMENTS | | | | |
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| EXAMINER SIGNATURE | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached. | |

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| FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B | Attorney Docket Number | 51214/DBP/T360 |
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| | Group Art Unit | N/A |
| | Examiner Name | N/A |

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| | | | | | |

| OTHER DOCUMENTS | | |
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